**HKEX Orion Market Data Platform – China Connect (Securities) FEED ENROLMENT FORM**

**(for Application Software Providers only)**

**Email To:** HKEX-IS [HKEX-IS@hkex.com.hk](mailto:HKEX-IS@hkex.com.hk)

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| **Companies who submit this enrolment form are deemed to have read and understand the Important Notes below:**   1. **Application Software Providers (“ASPs”) who would like to directly connect to HKEX Orion Market Data Platform – China Connect (OMD-CC) to provide 1 price-depth market data of the securities eligible for Northbound trading under the Shanghai-Hong Kong Stock Connect and/or Shenzhen-Hong Kong Stock Connect (collectively “China Connect”) to their registered China Connect Exchange Participant (“CCEP”) clients** should complete and return this Enrolment Form by email (one signed pdf version and one editable Word version) to HKEX-IS. 2. CCEPs who would like to receive the 1-price depth market data via ASPs are first required to submit the “OMD-CC Feed Enrolment Form for CCEPs” and sign the Standard Terms and Conditions for Use of China Connect Market Data (“T&C”). ASPs are responsible for ensuring that their CCEP clients have signed the T&C prior to receiving the OMD-CC data. Furthermore, ASPs should only disseminate to their CCEP clients the OMD-CC data that the clients have the rights to receive. For example, ASPs are not allowed to disseminate the OMD-CC data relayed from the Shenzhen Stock Exchange to a CCEP who is not eligible for Northbound trading under Shenzhen-Hong Kong Stock Connect. 3. ASPs are responsible to collect their CCEP clients’ OMD-CC Feed Enrollment Form and T&Cs and submit to [HKEX-IS@hkex.com.hk](mailto:HKEX-IS@hkex.com.hk). 4. ASPs can only receive the 1 price-depth market data via OMD-CC for the market that its CCEP clients are eligible to obtain through China Connect. For example, an ASP who does not have a CCEP client eligible for Northbound trading under Shenzhen-Hong Kong Stock Connect will not be allowed to receive the OMD-CC data relayed from the Shenzhen Stock Exchange. 5. This form is for datafeed enrolment only. ASPs are also required to complete the followings in order to be allowed access to OMD-CC: 6. complete all technical tests (where applicable) as required and declare for system readiness;    * ASPs who are currently direct connection OMD-C licensees or certified Independent Software Vendor (“ISVs”) for OMD-C and will use an existing OMD-C application which has passed the OMD-C Readiness Test according to the record of HKEX-IS to gain access to and process the OMD-CC data will need to complete the Offline Simulator Test for verifying their decoding capability of the new content    * Other ASPs will need to complete the Readiness Test   Applicable forms and Onboarding Tools with canned data for performing the Offline Simulator Test will be sent to the ASPs after they have completed this Enrolment Form.  Please refer to **Attachment I** for the process flow.   1. sign the **China Connect Application Service Provider Licence Agreement** (agreement will be available later) 2. One set of OMD-CC connection will be offered free of charge to an approved ASP under the arrangement. The use of market data sourced from OMD-CC should follow the terms as stipulated in the relevant agreement. 3. Apart from completing the technical tests(where applicable), ASPs are also required to submit the One-Off Connection Fee of HK$10,000 prior to production launch. 4. ASPs for OMD-CC are required to inform us via email on any changes in relation to the details provided in this form. |

**Part I: Company Particulars**

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| **Name of Company**  **(both English and Chinese)** |  |
| **Existing HKEX-IS Licensee for Securities Market with direct connection** | **Yes  No** |
| **Existing ISV with certified OMD-C software registered with HKEX-IS** | **Yes  No** |

**Part II: Contact Information (to be inserted into the agreement)**

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| **Attention** |  |
| **Job title** |  |
| **Registered Address** |  |
| **Business Address** |  |
| **Direct line** | (country code) area code – phone no. |
| **Mobile** | (country code) area code – phone no. |
| **Email** |  |
| **Fax number** | (country code) area code - fax no. |

**Part III: OMD-CC Feed Enrolment and Software Development Details**

Please select the OMD-CC Datafeed(s) to be subscribed and connection method by checking the appropriate box (es) below:

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| **OMD-CC Datafeed Subscription** | Shanghai Stock Exchange (OMD-CC SSE)  Shenzhen Stock Exchange (OMD-CC SZSE) |
| **Connection Method** | Hosting Service Network  SDNet/2 |
| **Software Development** | New application  Tested existing OMD-C application |

**Part IV: List of CCEPs under the ASP licence**

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|  | **Full Name of CCEP** | **Type of Information**  **(OMD-CC SSE and/or OMD-CC SZSE)** | **Effective Date** |
|  |  |  | Initial launch of OMD-CC |
|  |  |  | Initial launch of OMD-CC |
|  |  |  | Initial launch of OMD-CC |
|  |  |  | Initial launch of OMD-CC |
|  |  |  | Initial launch of OMD-CC |

**Submitted by the Company:**

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| **Contact Person:** | **Sign for and on behalf of the Company:** |
| **Job Title:** |
| **Contact No.:** |
| **Date:** |

**Personal Information Collection Statement**

“Personal Data” in this statement has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong (“PDPO”).

The personal data collected on this form will be used solely for the purpose of the enrollment and be retained for such period as may be necessary for our verification and record purposes.

Please refer to the HKEX’s Privacy Policy Statement which is available on the HKEX website ([www.hkex.com.hk](http://www.hkex.com.hk)) for further details.

**Attachment 1 – Process Flow for OMD-CC Clients’ On-boarding Testing**

**ASPs who have direct connections with OMD-C or are certified ISVs for OMD-C and use the existing OMD-C application to gain access to and process OMD-CC data**

**Other ASPs**

**OMD-CC Offline Simulator Test**

* **Download Offline Simulator Test Pack from ECP**

**OMD-CC ReadinessTest**

* **Submit OMD-CC Connection Notification Form for Testing**

**Submit OMD-CC Connection Notification Form for Production**